

# **Extenuating Circumstances Policy**

POLICY DETAILS	
Policy Category:	Academic
Version Number:	V3
Approving Authority:	Academic Board
Approval Date	13 November 2025
Date Reviewed:	4 November 2025
Date of next review:	13 November 2026
Author:	Quality Manager
Owner (if different from above):	Principal
Version History:	<ol> <li>Updated and added policy details at the beginning</li> <li>Corrected minor typos</li> <li>Added related policies.</li> <li>Deleted clause 4.17 (V2)</li> </ol>
Related Policies / Procedures	Assessment Policy Academic Appeals Policy Academic Misconduct and Al Use Policy Reasonable Adjustments and Fair Access to Assessment Policy
Effective Date:	13 November 2025

#### 1. Introduction

- 1.1 To deliver its strategic policy aims of excellence in Curriculum Innovation; Learning, Teaching and Assessment; and the Student Experience, Results has a policy of enabling students to claim extenuating circumstances for an extension of a submission deadline or to be considered when marking a submission.
- 1.2 This Policy sets out the College's arrangements for considering requests for extenuating circumstances. This Policy applies to all students.
- 1.3 The College considers extenuating circumstances to be recognisably disruptive or unexpected events beyond the student's control that might have a significant and adverse impact on their academic performance.
- 1.4 Students are responsible for ensuring that they are registered with a medical practice during the course of their studies at the College.
- 1.5 All requests for mitigation will be treated confidentially, processed formally, and judged impartially. Procedures for consideration of claims will be applied consistently. Only relevant staff will have access to information students have willingly shared as part of the extenuating circumstances process, as relevant for their role in the process.
- 1.6 All claims and evidence will be kept by the College for the duration of time stated in the College's records retention policy, after which the evidence shall be destroyed.

# 2. Grounds for Extenuating Circumstances

2.1 Extenuating Circumstances are unforeseeable and unpreventable circumstances which have a significant impact on the academic performance of a student. This may occur at the time of assessment.

These normally are:

- unforeseeable in that you could have no prior knowledge of the event concerned, and
- **unpreventable** in that you could do nothing reasonably in your power to prevent such an event, and
- expected to have a **serious impact** on performance
- 2.2 Students are expected to make reasonable plans to take into account commonly occurring circumstances (such as transport or computer problems) even those which, on occasion, may have been unforeseeable and unpreventable.
- 2.3 Below is a non-exhaustive list of examples of extenuating circumstances which, with relevant evidence, are likely to be accepted:
  - significant personal illness or injury,
  - the death or critical/significant illness of a close family member/dependant or friend.
  - significant adverse personal or family circumstances,
  - other significant exceptional factors that are outside the student's control e.g. jury service.
- 2.4 Below is a non-exhaustive list of examples of extenuating circumstances which are unlikely to be accepted:
  - holidays, house moves and events that were planned or could reasonably have been expected,
  - misreading the timetable or misunderstanding the requirements of assessments,

- assessments that are scheduled close together,
- inadequate planning and time management,
- failure, loss or theft of a computer or printer that prevents the submission of work on time. Students should back up their work regularly and not leave completion so late that they cannot find another computer or printer,
- · consequences of paid employment.

# 3. Independent documentary evidence

- 3.1 All claims must be supported by independent documentary evidence. Copies of evidence can only be accepted where there is a reasonable explanation for the original not being available. The evidence must be an official document e.g. a letter signed on official headed paper and must include the dates during which the circumstances applied and the contact details of the person or company supplying the evidence for verification purposes if required (Appendix 1).
- 3.2 The College reserves the right to check the authenticity of all documentation submitted as part of an extenuating circumstances claim. In the event that a student is found to have submitted evidence which is not authentic, this will normally be investigated in accordance with the Academic Malpractice and AI Use Policy.
- 3.3 All documentary evidence should be presented in English. Any evidence that is not in English must be translated using an authorised translator before it is submitted.

#### 4. Procedure

- 4.1 Extenuating circumstances requests must be submitted prior to, or at the latest, on the day of the assessment deadline.
- 4.2 Claims will not be accepted after the publication of results.
- 4.3 It is recognised that there may be cases where a student is unable to submit a claim for extenuation within the above time period (e.g. emergency inpatient hospital treatment occurring immediately prior to the submission date and time). These are classed as exceptional cases. In these cases, submission of the claim should be made at the earliest opportunity. Exceptional case claims must be made before the publication of results.
- 4.4 If students have a valid reason for not having claimed extenuating circumstances by the published deadline, they can appeal using the academic appeal process.
- 4.5 Where it is not possible to provide the evidence within the timeframe, students must submit the form within the deadline and provide a clear indication of the evidence that is to follow, and the expected timeframe.
- 4.6 Students who submit requests after the deadline for submission of claims, will need to provide a credible and compelling explanation as to why the circumstances were not known or could not have been shown/notified before the deadline for the submission of claims.
- 4.7 Failure to submit due to a lack of understanding of the procedure or the timeframe will not normally be considered acceptable. Submissions made without supporting evidence as outlined in this policy will not be considered.
- 4.8 Students are responsible for submitting their own requests for extenuating circumstances and for providing relevant, independent documentary evidence to support their claim. Students' requests for mitigation must clearly state which assessments have been affected by the circumstances.
- 4.9 Students who wish to claim extenuating circumstances should first contact Student Support Team or their Tutor, who will offer information advice and guidance on the

- situation and refer the students to this policy and procedure.
- 4.10 Students claiming Extenuating Circumstances are required to complete an Extenuating Circumstances Form (HEDEL7) (Appendix 2).
- 4.11 Completed Extenuating Circumstances Forms should be submitted at studentsupport@resultsco.org.uk
- 4.12 Where a student submits a claim that has been considered by the Extenuation Panel, the application cannot be withdrawn at a later date.
- 4.13 An Extenuation Panel will be convened regularly throughout the year to provide timely consideration of claims. The Panel will consider the claim and the evidence submitted in support of that claim. The purpose of the Extenuation Panel is to establish whether there is substantiated evidence of eligible circumstances, and that there is clear evidence that the dates of the assessment and the dates of the Extenuating Circumstances demonstrate that the student's performance would have been affected.
- 4.14 Decisions from the Extenuation Panel can only be considered by an Assessment Board. The Minutes of Extenuating Circumstances Panel meetings may be presented to the relevant Assessment Board and can be made available to the External Examiner(s) for a programme, on request
- 4.15 The Student Support Team will be responsible for notifying students of the outcome of their Extenuating Circumstances claim as made by the Extenuation Panel, within five working days of the panel sitting.
- 4.16 Chair's Action will allow for timely and straightforward decisions to be made only for students where it is clear that difficult circumstances have affected their wellbeing and ability to perform to their potential. In such cases, Chair's Actions must be recorded and reported to the appropriate Extenuation Panel.
- 4.17 Under no circumstances will extenuating circumstances be grounds for adjusting marks awarded.
- 4.18 Any claim for extenuation that is found to be in any part fraudulent will be considered as a matter of misconduct and dealt with under the Student Code of Conduct & Disciplinary Procedure.

### 5. Appeals

A student has no right to appeal the outcome of an extenuating circumstances request. Following the publication of results, a student can appeal following the Academic Appeals Process.

# Appendix 1 (examples of extenuating circumstances and acceptable evidence)

Below is a list of circumstances that we consider to be significant enough to be considered grounds for applying for extenuating circumstances. There is also a list of evidence that is acceptable, which should be submitted alongside any application.

Circumstance	Acceptable evidence (examples)
Serious or extended illness or injury	Medical certificate or GP note signed during the illness or incident with a stated period of refrain. Needs to bear Surgery Stamp
Serious Illness/Injury of a close relative or dependent	Medical certificate or note signed while the illness or incident was affecting the other person and clearly stating the refrain period. Needs to bear Surgery Stamp, or
	Medical certificate or GP note signed and clearly stating the refrain period or support given to the other person(s). This must be during or around the hand in date. Needs to bear Surgery Stamp
Bereavement	Copy of a Death Certificate or dated Order of Service, or
	Official letter from a person with whom you have a professional relationship, such as a Counsellor, Faith Leader or GP confirming the impact on you
Domestic and/or Personal Problems	Medical certificate or note signed confirming the problem and impact. Needs to bear Surgery Stamp, or
	Official letter from a person with whom you have a professional relationship (see above)
Court Attendance e.g. jury service	Official correspondence from the Court, Tribunal Authority or letter from Solicitor.
Representing County or Country at Sport	Official letter from the appropriate sporting association or authority that explained why the absence was necessary.
Active Exercise of Citizenship e.g. Reserve Forces	Letter from the appropriate association or authority that explained why the absence was necessary.
Victim of Crime	Official Police or other investigating authority letter confirming the reported crime and where appropriate, a list of items stolen, such as a laptop or computer, or  Medical certificate or GP note signed confirming the incident
Childhiadh an ann diamhian a duainn	and impact on you. Needs to bear Surgery Stamp
Childbirth or complications during pregnancy	Copy of a birth certificate, or
	Medical certificate or note signed confirming complications were affecting the student. Needs to bear Surgery Stamp
Other Other mitigating circumstances that do not fall under any of the criteria defined above	Appropriate types of evidence will be communicated to you.

# **Appendix 2 - Extenuating Circumstances Form**

# **Extenuating Circumstances Form**

Mitigating circumstances are defined as unexpected events outside your control which are likely to have a negative impact on your ability to successfully complete an assessment. If you are **not fit** to submit the assessment and wish to defer your assessment, you should submit an Extenuating Circumstances claim form.

For further information please refer to our Mitigating Circumstances pages or contact the Student Support Team at <a href="mailto:studentsupport@resultsco.ac.uk">studentsupport@resultsco.ac.uk</a>

Please complete the form in full, attach your evidence and submit to the Student Support Team at <a href="mailto:studentsupport@resultsco.ac.uk">studentsupport@resultsco.ac.uk</a> prior to, or at the latest on the day of, the assessment.

#### 1. STUDENT DETAILS

First name		Surname	
Level of Study (tick	□ Level 4	Email address	
the appropriate box)	☐ Level 5	Telephone number	
Do you have a <b>registere</b> the Extenuation Panel to appropriate box, if yes,	· ·	☐ No☐ Yes Please give details b	elow:

#### **2. DETAILS OF ASSESSMENT AFFECTED**

UNIT TITLE	ASSESSMENT TYPE Eg. Essay, project, presentation etc.	IS THIS A FIRST ATTEMPT? (yes/no)	CURRENT DUE DATE
example entry Human Resource Management	Presentation	Yes	15 Jan 2020

#### 3. MAIN REASON FOR APPLICATION

3. WAIN REASON FOR APPLICATION
Please give details about why you are applying for mitigation, including dates you were affected and
the impact on your studies. This section below allows you to provide a brief overview of your claim.
You will still need to provide official evidence to support your application

### **4. EVIDENCE PROVIDED**

Please list all the documented evidence that you have provided to substantiate your claim

Dates covered by document	Title of evidence document
example entry 10 Jan 2021 – 20 Jan 2021	Medical certificate

#### **5. DECLARATION**

By submitting this form, you are confirming that you have read and understood the Extenuating Circumstances policy and that the information and evidence you have provided is true and accurate. Making false claims or falsifying evidence could lead to disciplinary procedures.

The Extenuating Circumstances Panel reserves the right to reject claims where no evidence is provided and to check the validity of document(s) submitted.

I consent to the information I provide in this form, and any supporting evidence, being processed by the College for the above purpose.

Signature of student Please type your name if submitting an electronic copy	
Date	